

# Facilitating Meta-Theory Reasoning (Invited Paper)

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Structural proof theory is praised for being a symbolic approach to reasoning and proofs, in which one can define schemas for reasoning steps and manipulate proofs as a mathematical structure. For this to be possible, proof systems must be designed as a set of rules such that proofs using those rules are correct *by construction*. Therefore, one must consider all ways these rules can interact and prove that they satisfy certain properties which makes them “well-behaved”. This is called the *meta-theory* of a proof system.

Meta-theory proofs typically involve many cases on structures with lots of symbols. The majority of cases are usually quite similar, and when a proof fails, it might be because of a sub-case on a very specific configuration of rules. Developing these proofs by hand is tedious and error-prone, and their combinatorial nature suggests they could be automated.

There are various approaches on how to automate, either partially or completely, meta-theory proofs. In this paper, I will present some techniques that I have been involved in for facilitating meta-theory reasoning.

## 1 Introduction

Structural proof theory is a branch of logic that studies proofs as mathematical objects, understanding the kinds of operations and transformations that can be done with them. To do that, one must represent proofs using a regular and unambiguous structure, which is constructed from a fixed set of rules. This set of rules is called a *proof calculus*, and there are many different ones. One of the most popular calculi used nowadays is *sequent calculus* [15] (and its variations).

In its simplest form, a sequent is written  $\Gamma \vdash \Delta$ , where  $\Gamma$  and  $\Delta$  are sets or multisets of formulas (depending on the logic). The interpretation of a sequent is that the conjunction of formulas in  $\Gamma$  implies the disjunction of formulas in  $\Delta$ . Rules in a sequent calculus are written:

$$\frac{P_1 \quad \dots \quad P_n}{C} \text{ name}$$

where  $C$  is the *conclusion* sequent, and  $P_i$  are the *premise* sequents. When there are no premises, the rule is called an *axiom*. Sequent calculus proofs are trees where each node is an instance of a rule. A set of rules is considered a “good” or “well-behaved” sequent calculus system if it satisfies a few properties. Among the most important ones are:

1. identity expansion: the system is able to prove  $A \vdash A$  for any formula  $A$ ; and
2. cut elimination: if  $\Gamma \vdash \Delta, A$  and  $\Gamma, A \vdash \Delta$ , then  $\Gamma \vdash \Delta$ .

A corollary of cut elimination is the calculus’ *consistency*, i.e. it cannot derive false. But there are also other properties which are interesting to show, such as rule invertibility and permutability. All

these properties are called the *meta-theory* of a proof system, and they can be used as lemmas in each others' proof, or justifications for sound proof transformations or proof search optimizations. Meta-theory proofs are typically done via structural induction on proof trees, formulas, or both. The number of cases is combinatorial on the number of rules and/or connectives, but cases tend to follow the same argument, with only a few more involved ones.

The appeal of sequent calculus is its versatility and uniformity: there are sequent calculi for a great number of logics, and they are formed by rules which are usually very similar. This similarity is very convenient, specially when it comes to meta-theory proofs. Since different logics share the same rules, parts of proofs can be reused from one system to the other. At first, meta-theory proofs are mostly developed by hand. After seeing the same cases over and over again, we become more and more confident that they will work out<sup>1</sup> and skip more and more steps. Coupled with the sheer complexity and size of such proofs, we end up missing cases and making mistakes, which need to be corrected later.

A proof of cut-elimination for full intuitionistic linear logic (FILL) was shown to have a mistake in [1], and the authors of the proof have later published a full corrected version [2]. A proof of cut-elimination for the sequent calculus  $GLS_V$  for the provability logic GL was the source of much controversy until this was resolved in [16] and formalized in [7] using Isabelle/HOL. More recently, another proof of cut elimination for the provability logic GLS was proposed [3], but the inductive measures used were not appropriate. Upon formalizing the proof [17], other researchers not only found the mistake, but were able to get a more self-contained proof. Several sequent calculi proposed for bi-intuitionistic logic were "proved" to enjoy cut-elimination when, in fact, they did not. The mistake is analysed and fixed in [29]. An error in the cut-elimination proof for modal logic nested systems was corrected in [21].

This situation has led many researchers to look for easier and less error prone methods for proving cut elimination. In this paper, I am going to discuss three different approaches: logical frameworks, formalization, and user-friendly implementations. I will focus on those developments where I have been involved in, but I will also mention relevant (though non-exhaustive) related work.

## 2 Logical Frameworks

In its most general form, a logical framework can be defined as a specification language with a reasoning engine, which is capable of reasoning about specifications written in the language. Formalizing meta-theory proofs in a logical framework involves writing the proof system in the specification language, and expressing properties such as cut elimination in a sentence that can be decided by the reasoning engine.

A classic example is the proof of cut elimination for the intuitionistic sequent calculus LJ in the logical framework LF [19]. LF's specification language is a type theory, so LJ is specified by writing each of its rules as an appropriate type. LJ's cut elimination proof, in its turn, is written by using another type for each proof case. LF's reasoning engine is thus able to infer coverage and termination of the proof. If one trusts LF's checker, then one can be sure that the specified cut elimination proof holds. Unfortunately, LF's method does not translate so elegantly to other logics, and fitting a sequent calculus system and its cut elimination proof in LF's type theory can be quite an involved exercise.

The L-framework uses an implementation of rewriting logic (Maude) to check meta-theory properties of sequent calculus systems [28]. Each inference rule is specified as a rewriting rule, and meta-properties are represented as reachability goals. Different meta-properties are parametrized by different rewriting rules. These include a number of rewriting rules representing the proof transformations relevant to that meta-property, and also the rewriting rules corresponding to the sequent calculus system. The rewriting

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<sup>1</sup>If you have read Kahneman's book, system 1 takes over.

$$\begin{array}{c}
\frac{\frac{\vdash \Gamma; \Delta, A \quad \vdash \Gamma; \Delta, B}{\vdash \Gamma; \Delta, A \& B} \& \quad \frac{\vdash \Gamma; \Delta, A, B}{\vdash \Gamma; \Delta, A \wp B} \wp \quad \frac{}{\vdash \Gamma; \Delta, \top} \top \quad \frac{\vdash \Gamma; \Delta}{\vdash \Gamma; \Delta, \perp} \perp \\
\frac{\vdash \Gamma; \Delta_1, A \quad \vdash \Gamma; \Delta_2, B}{\vdash \Gamma; \Delta_1, \Delta_2, A \otimes B} \otimes \quad \frac{\vdash \Gamma; \Delta, A_i}{\vdash \Gamma; \Delta, A_1 \oplus A_2} \oplus_i \quad \frac{}{\vdash \Gamma; 1} 1 \quad \frac{}{\vdash \Gamma; a, a^\perp} \text{init} \\
\frac{\vdash \Gamma, A; \Delta}{\vdash \Gamma; \Delta, ?A} ? \quad \frac{\vdash \Gamma; A}{\vdash \Gamma; !A} ! \quad \frac{\vdash \Gamma, A; \Delta, A}{\vdash \Gamma, A; \Delta} \text{copy}
\end{array}$$

Figure 1: One-sided dyadic sequent calculus for classical linear logic.

logic implementation is responsible for solving the reachability goal, and thus establishing whether the meta-property holds. This technique is sound but not complete. If the meta-theory proof follows a more exotic strategy, then it is likely that the pre-determined set of transformations in the L-framework will not be enough to decide reachability. In spite of this (expected) limitation, the L-framework was used to show a number of meta-properties (invertibility, permutability, cut-elimination, etc) of various sequent calculus systems, including single and multi conclusion intuitionistic logic, classical logic, linear logic, and modal logics.

Linear logic was proposed as a framework for reasoning about sequent calculus systems in [23]. In this technique, each rule in sequent calculus is encoded as a linear logic formula. Meta-properties such as cut-elimination and identity expansion are equivalent to (decidable) properties of the encoding. Therefore, given a set of linear logic formulas representing the encoding of a sequent calculus system, cut elimination can be decided using bounded proof search in linear logic. This method was used to prove meta-properties of linear, classical, and intuitionistic logics. It turns out that the linear logic framework cannot capture so easily sequent calculus systems with rules that have side conditions in the context, such as:

$$\frac{\Box \Gamma \vdash A}{\Box \Gamma, \Gamma' \vdash \Box A, \Delta} \Box_R$$

To be able to specify rules like this, we can use *subexponential linear logic* (SELL).

## 2.1 (Subexponential) Linear Logic

Linear logic is a refinement of classical logic in the sense that it allows a finer control over structural rules. In this logic, formulas that can be contracted or weakened (on the right side) are marked with the exponential operator  $?$ , called question mark. The dual of  $?$  is  $!$ , called bang. As a result, there are two kinds of conjunction and disjunction: an additive and a multiplicative, which differ on how the context is split between premises. The one-sided calculus for classical linear logic is depicted in Figure 1. The context is formed by  $\Gamma$ , containing formulas that can be contracted and weakened (i.e. those that were under  $?$ ), and  $\Delta$ , containing the other formulas. A relevant rule for what follows is  $!$ , also called *promotion*. Observe that a formula under  $!$  can only be used if no other formulas exist in  $\Delta$ .

Subexponential linear logic (SELL) extends linear logic by allowing multiple *indexed* exponential operators  $!^a, ?^a$  [24]. Each index may or may not allow the rules of contraction and weakening, and they are organized in a pre-order  $\preceq$ . Since there are formulas under different  $?^a$ , the sequent in SELL is composed of several contexts, one for each subexponential index. Assuming subexponential indices 1 to  $n$ , the rules involving subexponentials are modified as follows. Rule  $?$  stores the formula in the

$$\frac{\Gamma \vdash A \quad \Gamma \vdash B}{\Gamma \vdash A \wedge B} \wedge_R \quad \rightsquigarrow \quad \frac{\vdash \mathcal{S}, [\Gamma], [A] \quad \vdash \mathcal{S}, [\Gamma], [B]}{\vdash \mathcal{S}, [\Gamma], [A \wedge B]}$$

Figure 2: The encoding of the object logic rule  $\wedge_R$  is a linear logic formula whose derivation results on the right side tree.

appropriate context:

$$\frac{\vdash \Gamma_1; \dots; \Gamma_i, A; \dots; \Gamma_n; \Delta}{\vdash \Gamma_1; \dots; \Gamma_i; \dots; \Gamma_n; \Delta, ?^i A} ?$$

Formulas under  $!^i$  can only be used if all contexts corresponding to indices  $k$  such that  $i \not\leq k$  and  $\Delta$  are empty:

$$\frac{\vdash \Gamma_1; \dots; \Gamma_n; A}{\vdash \Gamma_1; \dots; \Gamma_n; !^i A} ! \text{ if } \Gamma_k = \emptyset \text{ for } i \not\leq k$$

Formulas in  $\Gamma_i$  will only retain a copy in the context if  $i$  is an index that allows contraction:

$$\frac{\vdash \Gamma_1; \dots; \Gamma_i, A; \dots; \Gamma_n; \Delta, A}{\vdash \Gamma_1; \dots; \Gamma_i, A; \dots; \Gamma_n; \Delta} \text{ copy if } i \text{ allows contraction}$$

$$\frac{\vdash \Gamma_1; \dots; \Gamma_i; \dots; \Gamma_n; \Delta, A}{\vdash \Gamma_1; \dots; \Gamma_i, A; \dots; \Gamma_n; \Delta} \text{ use if } i \text{ does not allow contraction}$$

## 2.2 Encoding

Using the new promotion rule, and the flexibility in choosing the subexponential indices and their properties, we can encode rules such as:

$$\frac{\Box \Gamma \vdash A}{\Box \Gamma, \Gamma' \vdash \Box A, \Delta} \Box_R$$

The encoding in linear logic uses two predicate symbols that map object logic formulas into linear logic predicates:  $[\cdot]$  and  $\lceil \cdot \rceil$ .  $[A]$  indicates that  $A$  is an object logic formula that is on the left side of the object logic sequent.  $\lceil A \rceil$  indicates that  $A$  is an object logic formula that is on the right side of the object logic sequent. Therefore, the sequent  $A_1, \dots, A_n \vdash B_1, \dots, B_m$  in the object logic is encoded, roughly, as the linear logic sequent:  $\vdash [A_1], \dots, [A_n], \lceil B_1 \rceil, \dots, \lceil B_m \rceil$ . In addition to these formulas, the linear logic sequent also includes a set  $\mathcal{S}$  of LL formulas that encode the sequent calculus rules of the considered logic. The derivation of a formula in  $\mathcal{S}$  mimics the application of the corresponding rule in the object logic. This general scheme is depicted in Figure 2.

The main idea behind the encoding is to choose indices such that the structure of the SELL sequent  $\vdash \Gamma_1; \dots; \Gamma_n; \Delta$  contains the parts of the context that need to be distinguished in the object logic. For the example above, we have a subexponential index to store boxed formulas on the left (called  $\Box_l$ ), one to store all other formulas on the left (called  $l$ ), and one to store all formulas on the right (called  $r$ ) [25]. Using the appropriate pre-order relation between those, we can force the deletion of formulas in  $l$  and  $r$ , when deriving the formula corresponding to the encoding of  $\Box_R$ .

The rule  $\Box_R$  above is encoded as the following SELL formula (which resembles a Horn clause):

$$\lceil \Box A \rceil^\perp \otimes !^{\Box_l} ?^r [A]$$

If we use a pre-order where indices  $\square_l$ ,  $l$ , and  $r$  are not related and allow contraction and weakening, the derivation of the formula above in SELL is:

$$\frac{\frac{\frac{}{\vdash [\Gamma_l]; [\Gamma_{\square_l}]; [\Delta], [\square A]; [\square A], [\square A]^\perp} \text{init}}{\vdash [\Gamma_l]; [\Gamma_{\square_l}]; [\Delta], [\square A]; [\square A]^\perp} \text{copy}}{\vdash [\Gamma_l]; [\Gamma_{\square_l}]; [\Delta], [\square A]; [\square A]^\perp \otimes !^{\square_l} ?^r [A]} \text{!}}{\vdash [\Gamma_l]; [\Gamma_{\square_l}]; [\Delta], [\square A]; [\square A]^\perp \otimes !^{\square_l} ?^r [A]} \otimes$$

Observe how the application of rule ! removes precisely the formulas that are weakened in the  $\square_R$  rule, and how the open premise corresponds to the premise of the rule.

### 2.3 Meta-theory

Given a set of linear logic formulas encoding rules of a sequent calculus system, [23] defined decidable conditions on the formulas that translate into the meta-properties identity expansion and cut elimination. The same criteria could be used for identity expansion of sequent calculus systems encoded in SELL, but not for cut elimination.

Showing cut elimination of systems encoded in LL is split into two parts:

1. show that cuts can be reduced to atomic cuts;
2. show that atomic cuts can be eliminated.

Step 1 relies on the fact that specifications of dual rules are dual LL formulas, and on cut elimination in LL itself. Step 2 is shown by mimicking Gentzen's reduction rules that permute atomic cuts until init rules, and then remove the cuts.

Cut is encoded as the linear logic formula  $[A] \otimes [A]$ , so its permutation in the object logic is equivalent to the permutation of this formula's derivation in LL. It is shown in [23] that this permutation is possible.

In the case of systems encoded in SELL, the general shape of the cut rule is:

$$!^a ?^b [A] \otimes !^c ?^d [A]$$

where  $!^a$  and  $!^c$  may or may not occur.

The presence of subexponentials is necessary, since sequent calculus systems with more complicated contexts may have cut rules that impose restrictions on the context, or may need more than one cut rule. As a result, the cut elimination argument for those systems may be more involved. They may require proof transformations to be done on a specific order (e.g. permute the cut on the left branch before the right), or may involve other transformations (e.g. permuting rules down the proof instead of permuting the cut up), or may use more complicated induction measures (e.g. a distinction between a "light" and "heavy" cut).

It is thus not a surprise that the elegant cut elimination criteria from [23] does not translate so nicely to SELL encodings. The presence of subexponentials on the formula corresponding to the cut rule may prevent the derivation of this formula from permuting, so the steps that require permutation of cut need to be looked at carefully. Therefore, showing cut elimination of systems encoded in SELL is split into three parts:

1. show that the cut can become *principal* (i.e. the rules immediately above the cut operate on the cut formula);

2. show that principal cuts can become atomic cuts;
3. show that atomic cuts can be eliminated.

Step 2 is shown as in [23], relying on the duality of formulas for dual rules and cut elimination in SELL. We have identified simple conditions for when step 3 can be performed, based on which subexponential indices occur on the encoding of the rules and how they are related in the pre-order. However, step 1 turned out to be quite complicated. The reason was already alluded to before: making cuts principal may involve permutations of the cut rule using particular strategies, permutations of other rules, or transformations of one cut into another. We have identified conditions that allow for some of these transformations in [25], but it is unlikely that a general criteria that encompasses this variety of operations exists.

**Permutation lemmas** Step 1 above may involve a number of permutation lemmas between rules, so we focused our efforts in finding an automated method to check for these transformations. Using answer set programming (ASP) and the encoding of rules in SELL, we were able to enumerate all cases in the proof of a permutation lemma, and to decide which of these cases work [26]. The cases in such proofs are the different ways one rule can be applied over another. Using context constraints for each SELL rule, the possible derivations of a formula is computed by a logic program that finds all models that satisfy a set of constraints. Once all possible derivations of a rule over another is found, another logic program computes whether provability of some premises imply provability of others. The check is sound, but not complete.

**Implementations** The goal of this work was to provide automated ways to check for some meta-properties of sequent calculus systems, so it is only natural that we have implemented the solutions. Initial expansion and cut elimination for systems encoded in SELL are implemented in the tool Tatu<sup>2</sup>. Permutation lemma for systems encoded in SELL is implemented in the tool Quati<sup>3</sup>. Both tools require the user to input only the encoding, and all checks are done with the click of a button. Tatu also features a nice interface that shows the encoded sequent calculus rules and cases for permutation lemmas in L<sup>A</sup>T<sub>E</sub>X [27].

**Extensions** This framework was adapted to the linear nested sequent setting, and it was shown that it can more naturally capture a range of systems with context side conditions [20].

The works on using SELL as a logical frameworks [25, 26] were successful in finding decidable conditions on encodings that translate into meta-properties of the encoded systems. These conditions can be checked completely automatically, as witnessed by their implementations. It is not surprising, however, that the more a meta-theory proof deviates from the “standard” procedure, the fewer cases can be checked automatically. But probably the biggest issue with using SELL to encode systems is coming up with the encoding in the first place. It turns out that, for encoding one system, different subexponential configurations can be used. Each choice might influence on which meta-properties can and cannot be proved (even if the encoded system is correct), and figuring this out requires patience and experience.

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<sup>2</sup><http://tatu.gisellereis.com/>

<sup>3</sup><http://quati.gisellereis.com/>

### 3 Formalizations in Proof Assistants

Proof assistants are incredibly expressive and powerful tools for programming proofs. Specifications are written usually in a relational or functional fashion, and proofs about such specifications are written as proof scripts. Those scripts basically describe the proof steps, and each step is validated by a proof checker. If the proof assistant is able to execute the proof, and its implementation is trustworthy, then this is a strong guarantee that the proof is correct.

One of the issues when developing proofs of meta-properties by hand is the sheer complexity and number of cases. By implementing these proofs in proof assistants, the computer will not let us skip cases or overlook details.

There are several works that implement different calculi and proofs of meta-properties in proof assistants. We mention a few, though this is far from an exhaustive list. Dawson and Goré proposed a generic method for formalizing sequent calculi in Isabelle/HOL, and implemented meta-properties parametrized by a set of rules [7]. This implementation was used to prove cut elimination of the provability logic  $GLS_V$ . Recently, D’Abrera, Dawson, and Goré reimplemented this framework in Coq and used it to implement and prove meta-properties of a linear nested sequent calculus [6]. Tews formalized a proof of cut elimination for coalgebraic logics in Coq, which uncovered a few mistakes in the original pen and paper proof [31]. Graham-Lengrand formalized in Coq completeness of focusing, a proof search discipline for linear logic [18]. Urban and Zhu formalized strong normalization of cut elimination for classical logic in Isabelle/HOL [32].

The fact that each of these works is a publication (or collection of publications) itself is evidence that formalizing meta-theory is far from trivial work and cannot be done as a matter of fact. Even though one would think that specifying sequent calculi on a functional or relational language would be “natural”, there is a lot of room for design choices that influence how proofs can be implemented. I have been involved on the formalization of linear logic and its meta-theory in two proof assistants: Abella [4] and Coq [33]. The Abella formalization includes various fragments of linear logic and different calculi, and the meta-theorems proved were identity expansion, cut elimination, and invertibility lemmas when needed. The Coq formalization was done for first order classical LL, and includes the meta-theorems of cut elimination, focusing, and structural properties when needed. The choice of linear logic is strategic: this logic’s context is not a set, so we cannot leverage the context of the proof assistant to store the object logic’s formulas. Many substructural logics would have similar restrictions, so a solution for linear logic can probably be leveraged for other logics as well. We discuss now the main challenges and insights of those formalizations.

#### 3.1 Specification of Contexts

As mentioned, we could not use Abella or Coq’s set-based context to store linear logic formulas, since these need to be stored in a multiset. As a result, the context needs to be explicit in the specification of the sequent calculus. Proof assistants typically have a really good support for lists, so one choice would be to encode contexts as lists. In this case, we would need to show that exchange is height-preserving admissible, and use this lemma each time we need to apply a rule on a formula at the “wrong position”. To avoid this extra bureaucracy, and to have specifications and proofs that resemble more what is done on pen and paper, we need to use a multiset library.

For the Abella development we implemented this library from scratch. Multi-sets can be specified in a number of ways, with different operations as its basic constructor. The implementation, operations, and lemmas proved about multisets highly influence the amount of bureaucracy in the meta-theory proofs.

Our implementation uses an `adj` operation on an element and a multiset to add the element to the multiset (akin to list `cons`). Using `adj` we could define `perm` (equality up to permutation) and `merge` (multiset union). It is possible that our implementation can be further simplified, but we run the risk of over-fitting it for the linear logic case.

Coq has a multiset library where multisets are implemented as bags of type `A -> nat`. It turns out that this implementation of multisets complicates reasoning, so a multiset library was again implemented from scratch. In this case, a multiset is defined as a list, and multiset operations including equality are defined inside a Coq module.

### 3.2 Handling Binders

Linear logic quantifiers are *binders*. Encoding object level binders has been the topic of extensive research during the last decades [13, 12, 11, 14, 22].

In the Coq formalization, LL quantifiers were encoded using the technique of *parametric HOAS* [5], so substitution and freshness conditions come for free. However, substitution lemmas and structural preservation under substitutions must be assumed as axioms.

In the Abella formalization, the HOAS technique was also employed, but object level binders can be modelled using Abella’s nominal quantifier  $\nabla$ .

To have a more smooth treatment for binders, the work in [33] was adapted to use the Hybrid framework [10, 9]. This has allowed the formalization of a completely internal proof of cut elimination for focused linear logic. Moreover, the encoded linear logic was used as a meta-language for encoding and proving properties of other systems, effectively providing formal proofs for the theorems in the previously discussed work [23].

### 3.3 Proof Development

The proofs in both Abella and Coq were carried out faithfully to what is usually done with pen and paper. The technique used in Abella was structural induction on proof trees and formulas, whereas Coq’s proofs are done mostly on induction on the derivation’s height (which is explicit on the specification).

As expected, a lot of details and non-cases that are usually dismissed on paper need to be properly discharged on a proof assistant. As a result, the proof includes some bureaucracy and requires a lot of patience and attention to detail. Using Coq’s powerful tactics language `Ltac`, the work [33] included the implementation of tailored tactics to solve recurring proof goals. The amount of work pays off though, since a formalized proof is a more trustworthy proof.

Ultimately we are looking for a “canonical” way for specifying proof systems on proof assistants, such that meta-property proofs can be done more easily, hopefully using pieces from other proofs (much like it is done on pen and paper). I believe it is safe to say we are not there yet. In the current state of the art, formalizations of meta-theory on proof assistants serve more to increase trust than to facilitate meta-reasoning. But at each new formalization we learn new techniques, and maybe in the future we could use those to implement a libraries that actually facilitate meta-reasoning.

## 4 User-friendly Implementations

The two previous techniques for meta-reasoning aimed at complete automation, or complete trust. But we do not have to restrict ourselves to extremes. Considering the operations that need to be done during

meta-reasoning, there are a number of them which could be delegated to a computer, without requiring a lot of effort or expertise. Some examples are: checking if two sequents are the same; enumerating all possible ways two sequents can be unified; enumerating all possible ways a rule can be applied; checking if a structure is smaller than another one; computing and applying substitutions; etc. You might even have implemented a couple of those in some context. Most of these tasks have well-established, sound and complete, algorithms, so they could be implemented in an easy-to-use tool to help logicians with boring meta-reasoning proofs. I have been involved in at least two such projects: GAPT [8] and Sequoia [30].

## 4.1 GAPT

GAPT<sup>4</sup> stands for General Architecture for Proof Theory, and it is a software for investigating, implementing, and visualizing proof transformations. This system grew from years of proof theorists collaborating with the implementation of the algorithms they were studying at the time. Coupled with an organized and systematic software engineering, it was possible to build a common basis for all the different proof systems and transformations.

Terms, formulas, and sequents are among GAPT's built-in datatypes. In addition to that, it contains implementations of the sequent calculus for classical logic LK, Gentzen's natural deduction system, and resolution. GAPT is able to import proofs from various automated theorem provers, but it also includes its own prover. Users have the option of building their own LK proof using GAPT's tactic language: `gaptic`. The imported proofs can be manipulated using GAPT's API, and visualized using the GUI (which also provides limited manipulation options).

Among the proof transformations implemented in GAPT, we highlight some well-known ones:

- Gentzen's cut elimination;
- skolemization: removing positive occurrences of  $\forall$  and negative occurrences of  $\exists$ ;
- interpolation: given a proof of  $\Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2$ , find  $I$  such that  $\Gamma_1 \vdash \Delta_1, I$  and  $\Gamma_2, I \vdash \Delta_2$  are provable and  $I$  contains only predicates that appear in both partitions;
- translation from LK to natural deduction;

Extending GAPT with another calculus or proof transformation requires one to delve into its development, understanding the code and its modules. However, most of the infrastructure is already there, and there are many implementations to take inspiration from. GAPT was not built for meta-reasoning specifically, but given the amount of proof transformations involved in meta-theory, it is a good platform for experimenting with how a calculus behaves under these transformations. It is not far fetched to think of implementing, for example, the enumeration of ways a rule can be applied on a sequent, given that the notion of sequent and rules already exist.

## 4.2 Sequoia

Sequoia<sup>5</sup> is a web-based tool for helping with meta-theory of sequent calculi. It was built to be as user-friendly as possible. Users input their calculi in  $\LaTeX$ , and are able to build proofs in it by clicking on a sequent and on the rule to be applied. If the rule can be applied in more than one way, the system computes all possibilities and prompts the user to choose one option. The proof tree is rendered in  $\LaTeX$  on the fly, and users can undo steps if they need to backtrack.

<sup>4</sup><https://www.logic.at/gapt/>

<sup>5</sup><https://logic.qatar.cmu.edu/sequoia/>

When it comes to meta-reasoning, sequoia helps by listing all cases it can automatically deduce if the proof follows the “usual” strategy. For example, for identity expansion sequoia will try to build small derivations of size at most 2 for each connective, and check that the open premises could be closed with identity on smaller formulas. If it is able to do so, it will print the small derivation in  $\text{\LaTeX}$  so that the user can check for themselves. The meta-properties sequoia supports are: identity expansion, weakening admissibility, permutability of rules, cut elimination, and rule invertibility.

## 5 Conclusion

I have discussed three different methods for reasoning about meta-properties of (mostly sequent calculus) proof systems. This is a biased view from my own experience, and should not be taken as the only ways to do meta-reasoning on the computer. Each method has its own advantages and disadvantages, which makes them incomparable.

The solution using (subexponential) linear logic as a framework has the great advantage that all checks can be completely automated, and a “yes” means the property holds, once and for all. However, if the meta-theory proof follows a more esoteric strategy, it is unlikely that this method will work. A “no” is actually “don’t know”, and the logician is left on their own to check the proof by hand. Another challenge with this technique is the fact that the user needs to be quite familiar with linear logic to be able to come up with a reasonable encoding.

Formalizations in proof assistants have the advantage that one needs to know simply the specification and scripting languages. A familiarity with available libraries and techniques is helpful, but not crucial. Similar to the SELL solution, if the system checks the proof, then one can be sure the property holds. The flexibility in writing proofs allows for the more esoteric proofs to be checked, but the cost of this is less automation. In contrast to the previous approach, the check cannot be done with the click of a button, and requires the logician to go through the long process of implementing the proof and all its cases and details.

The last solution is the least ambitious one, but probably the most realizable in the short term. We can use the computer to aid in parts of meta-reasoning, while leaving the most complicated cases for us to think about. We have seen how a well-designed framework can serve as a platform to test various proof transformations, and how a user-friendly system can allow some easy cases to be computed automatically.

In the end, all solutions have their limitations, and we are still far from a situation where meta-theory proofs can be developed in a more trustworthy fashion. There is still a lot of work to be done into making each of these approaches easier to use and broader. We are slowly making progress.

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